

## $\mathbb{Z}_p, \mathbb{Q}_p$ , AND THE RING OF WITT VECTORS

No.05: ring of Witt vectors (1) Preparations

From here on, we make use of several notions of category theory. Readers who are unfamiliar with the subject is advised to see a book such as [1] for basic definitions and first properties.

Let  $p$  be a prime number. For any commutative ring  $k$  of characteristic  $p \neq 0$ , we want to construct a ring  $W(k)$  of characteristic 0 in such a way that:

- (1)  $W(\mathbb{F}_p) = \mathbb{Z}_p$ .
- (2)  $W(\bullet)$  is a functor. That means,
  - (a) For any ring homomorphism  $\varphi : k_1 \rightarrow k_2$  between rings of characteristic  $p$ , there is given a unique ring homomorphism  $W(\varphi) : W(k_1) \rightarrow W(k_2)$ .
  - (b)  $W(\bullet)$  should furthermore commutes with compositions of homomorphisms.

Recent days, it gets easier for us on the net to find some good articles concerning the ring of Witt vectors. The treatment here borrows some ideas from them. See for example the “comments” section in [https://www.encyclopediaofmath.org/index.php/Witt\\_vector](https://www.encyclopediaofmath.org/index.php/Witt_vector)

### 5.1. $\Lambda(A)$ .

DEFINITION 5.1. For any commutative ring  $A$ ,

- (1) we define

$$\Lambda(A) = (1 + TA[[T]]) \quad (\text{as a set})$$

For any  $f \in (1 + TA[[T]])$ , we denote by  $(f)_W$  the corresponding element in  $\Lambda(A)$ .

- (2) For any  $(f)_W, (g)_W \in \Lambda(A)$ , we define their sum by

$$(f)_W + (g)_W = (fg)_W$$

It is easy to see that  $\Lambda(A)$  is an additive group. It also carries the “ $T$ -addic topology” so that  $\Lambda(A)$  is a topological additive group.

The next task is to define multiplicative structure on  $\Lambda(A)$ . To that end, we do something somewhat different to others.

DEFINITION 5.2. For any commutative ring  $A$ , we define  $E(A) = \text{End}_{\text{additive}}(\Lambda(A))$ . It has the usual structure of a ring. For any  $a \in A$ , we define its “Teichmüller” lift  $[a]$  as

$$(f(T))_W \mapsto (f(aT))_W.$$

The basic idea is to define  $E_0(A)$  as the subalgebra of  $E(A)$  topologically generated by all the Teichmüller lifts  $\{[a]; a \in A\}$  and identify  $E_0(A)$  with  $\Lambda(A)$ . To avoid some difficulties doing so, we first do this when  $A$  is a very good one:

PROPOSITION 5.3. *Assume  $A = \Omega$ , an algebraically closed field. Then:*

- (1)  $\Lambda(A)$  is generated by  $\{(1-aT)_W | a \in A\}$  as a topological additive group.

- (2) The subring  $E_0(A)$  of  $E(A)$  generated by  $\{[a] | a \in A\}$  as a topological ring is equal to  $\{x \in E(A); x \text{ commutes with all Teichmüller lifts}\}$ .
- (3)  $(1 - T)_W$  is a generating separating vector of  $\Lambda(A)$  over  $E_0(A)$ . Thus we have a module isomorphism

$$E_0(A) \ni \varphi \rightarrow \varphi((1 - T)_W) \in \Lambda(A).$$

(Note that This isomorphism sends  $[a]$  to  $(1 - aT)_W$ .

We may thus identify  $E_0(A)$  and  $\Lambda(A)$  via this isomorphism and employ a ring structure on  $\Lambda(A)$ .

Here after, for any algebraically closed field  $A$ , we employ the ring structure of  $\Lambda(A)$  defined as the above proposition. In this language we have:

$$(1 - aT)_W \cdot (1 - bT)_W = (1 - abT)_W \quad (a, b \in A)$$

More generally, for any  $f(T) \in 1 + TA[[T]]$ , we have a formula for multiplication by degree-1-object  $(1 - aT)_W$ :

$$(1 - aT)_W \cdot (f(T))_W = (f(aT))_W \quad (a \in A)$$

We may extend this formula to any polynomial  $g(T) \in 1 + TA[T]$  with constant term=1. Indeed, we factorize  $g$  as  $g(T) = \prod_{j=1}^k (1 - \alpha_j T)$  and

$$(g(T))_W \cdot (f(T))_W = \prod_j f(\alpha_j T)$$

EXERCISE 5.1. Compute  $(1+aT+bT^2)_W(1+pT+qT^2)_W$ . Notice that the result of the computation only needs polynomials with coefficients in  $\mathbb{Z}[a, b, p, q]$  rather than some extension of the ring.

#### REFERENCES

- [1] S. S. Mac Lane, *Categories for the working mathematicians*, Springer Verlag, 1971.