

On a beta expansion with negative bases

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§1 Beta expansion

(1) Definition

$$x \in (0, 1), \beta > 1$$

$$x = \frac{a_1(x)}{\beta} + \frac{a_2(x)}{\beta^2} + \dots$$

where

$$a_n(x) := [\beta T_\beta^{n-1}(x)] \in \{0, 1, \dots, [\beta]\}$$

$$T_\beta(x) := \{\beta x\} : [0, 1) \rightarrow [0, 1)$$

(2) Admissible sequence

Def.

A sequence $(x_n)_{n \geq 1}$ is β -admissible $\stackrel{def}{\iff}$ $(x_n)_{n \geq 1}$ is the β -expansion of some $x \in [0, 1)$.

Notation

$$\begin{aligned} d(x, \beta) &:= (a_1(x), a_2(x), \dots) \\ d^*(1, \beta) &:= \lim_{\epsilon \downarrow 0} d(1 - \epsilon, \beta) \end{aligned}$$

Theorem(Parry)

$(x_n)_{n \geq 1}$ is β -admissible

$$\iff (x_m, x_{m+1}, \dots) \preceq_{lex} d^*(1, \beta), \quad \forall m \geq 1$$

Ex. $\beta = \tau$, $d^*(1, \tau) = (1, 0, 1, 0, \dots)$.

(3) Shift Space

Def.

$X_\beta := \{(x_n)_{n \in \mathbf{Z}} \mid \forall \text{ subword of } (x_n) \text{ appears in an admissible seq. }\}$

Theorem

(1)(Ito-Takahashi 1974)

X_β is SFT

$\iff d^*(1, \beta)$ is purely-periodic

(2)(Bertrand-Mathis 1986)

X_β is Sofic

$\iff d^*(1, \beta)$ is eventually-periodic

Ex.

$\beta = \tau$, $X_\tau = \{(x_n)_{n \in \mathbf{Z}} \mid 1 \text{ is isolated }\}$.

(4) Invariant measure

The invariant measure on $[0, 1)$ w.r.t. T_β is given by

$$\nu(E) = \int_E h_\beta(x) dx,$$

where

$$h_\beta(x) = \sum_{n \geq 0} 1_{\{x < T_\beta^n(1)\}} \cdot \beta^{-n}$$
$$T_\beta^n(1) := T_\beta^{n-1}((\beta)), \quad T_\beta^0(1) := 1$$

Normalization Constant

$$F(\beta) := \int_0^1 h_\beta(x) dx$$

Theorem(Parry)

- (1) $F(\beta)$ is right continuous
 - (2) $F(\beta)$ is left *dis*-continuous
- at simple Parry numbers.

§2 Ito-Sadahiro expansion (Ito-Sadahiro, 2009)

(1) Definition

Def.

$$x \in (l_\beta, r_\beta), \quad l_\beta := \frac{-\beta}{\beta + 1}, \quad r_\beta := \frac{1}{\beta + 1}, \quad \beta > 1$$

$$x = \frac{a_1(x)}{(-\beta)} + \frac{a_2(x)}{(-\beta)^2} + \dots$$

where

$$a_n(x) = [-\beta T_{-\beta}^{n-1}(x) - l_\beta] \in \{0, 1, \dots, [\beta]\}$$
$$T_{-\beta}(x) = -\beta x - [-\beta x - l_\beta]$$

(2) Admissible seq.

Def.

$(x_n)_{n \geq 1}$ is $(-\beta)$ -admissible
 $\xLeftrightarrow{def} (x_n)$ is the $(-\beta)$ -expansion of some
 $x \in [l_\beta, r_\beta)$.

Notation

$$d(x, -\beta) = (a_1(x), a_2(x), \dots)$$

$$d^*(r_\beta, -\beta) := \lim_{\epsilon \downarrow 0} d(r_\beta - \epsilon, -\beta)$$

Theorem

$(x_n)_{n \geq 1}$ is $(-\beta)$ -admissible

$$\iff$$

$$d(l_\beta, -\beta) \preceq_{IS} (x_m, x_{m+1}, \dots),$$

$$(x_m, x_{m+1}, \dots) \preceq_{IS} d^*(r_\beta, -\beta)$$

for $\forall m \geq 1$, where

$$(a_n)_{n \geq 1} \preceq_{IS} (b_n)_{n \geq 1}$$

$$\xLeftrightarrow{def} ((-1)^n a_n)_{n \geq 1} \preceq_{lex} ((-1)^n b_n)_{n \geq 1}$$

(3) Shift Space

Def.

$X_{-\beta} := \{(x_n)_{n \in \mathbf{Z}} \mid \forall \text{ subword of } (x_n) \text{ appears in an } (-\beta) \text{ admissible seq.}\}$

Theorem

$X_{-\beta}$ is sofic

$\iff d(l_\beta, -\beta)$ is eventually periodic.

Ex.

$$\beta = \tau := (1 + \sqrt{5})/2$$

$$d(l_\beta, -\tau) = (1, 0, 0, \dots)$$

The set of forbidden words is

$$\{1 \underbrace{0 \dots 0}_{\text{odd}} 1\}$$

(4) Invariant Measure Theorem

The invariant measure is

$$\nu(E) = \int_E h_{-\beta}(x) dx,$$

where

$$h_{-\beta}(x) = \sum_{n=0}^{\infty} 1_{\{T_{-\beta}^n(l_{\beta}) \leq x\}} \cdot (-\beta)^{-n}.$$

Normalization Constant

$$F(-\beta) := \int_{l_{\beta}}^{r_{\beta}} h_{-\beta}(x) dx$$

$$Q_{even} := \{ \beta \mid d(l_{\beta}, -\beta) \text{ is even periodic} \}$$

$$Q_{odd} := \{ \beta \mid d(l_{\beta}, -\beta) \text{ is odd periodic} \}$$

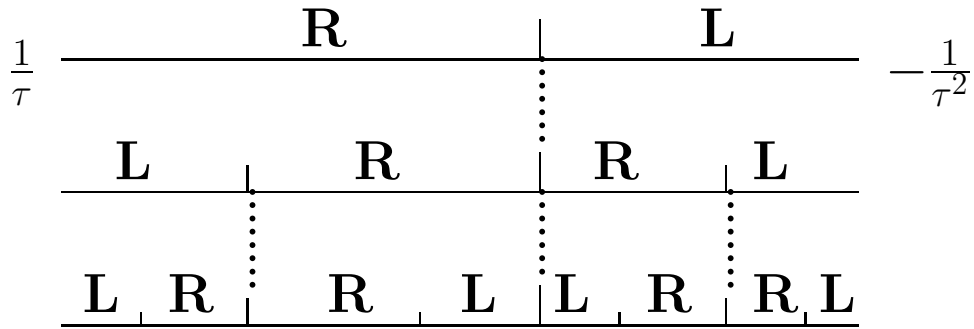
Theorem

- (1) At $\beta \in Q_{odd}$, $F(-\beta)$ is right-continuous, and left-discontinuous,
- (2) At $\beta \in Q_{even}$, $F(-\beta)$ is left-continuous, and right-discontinuous.

§3 An $(-\beta)$ -expansion related to Sturmian seq.

(1) Definition (for $\beta = \tau$)
 (ext'd. to α 's with $\alpha^2 + k\alpha = 1$, $\beta = \alpha^{-1}$, $k \in \mathbb{N}$).

$$x \in \left[-\frac{1}{\tau^2}, \frac{1}{\tau} \right]$$



$$\begin{aligned} x &\mapsto (R, L, R, L, \dots) \quad (R \mapsto 1, L \mapsto 01) \\ &\mapsto (1, 0, 1, 1, 0, 1, \dots) \\ &=: (a_2(x), a_3(x), \dots) \end{aligned}$$

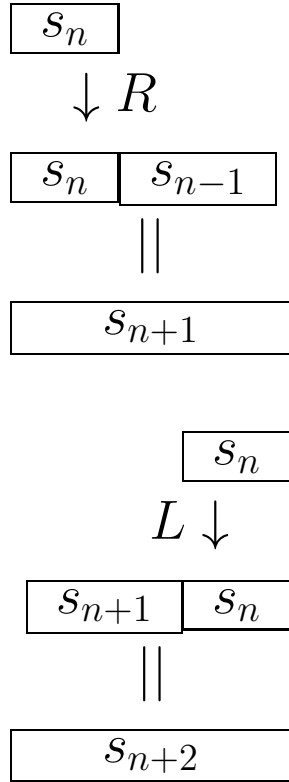
then
$$x = \sum_{j \geq 2} \frac{a_j(x)}{(-\beta)^j}$$

Relation to Fibonacci words

$$v_\theta(n) = 1_{[1-\frac{1}{\tau}, 1)} \left(\frac{1}{\tau} \cdot n + \theta \right), \quad \theta \in [0, 1), \quad n \in \mathbf{Z}.$$

R, L -construction of v_θ

$$\begin{aligned} s_{-1} &= 1, & s_0 &= 0, & s_1 &= 1 \\ s_{n+1} &= s_n s_{n-1} \end{aligned}$$



Any v_θ can be constructed in this manner.

$$v_\theta \mapsto (R, L, R, L, \dots)$$

which has the same rep. for

$$x \mapsto (R, L, R, L, \dots)$$

with

$$x + \frac{1}{\tau^2} = \theta$$

Results

(2) Admissible seq.

$(x_n)_{n \geq 1}$ is $(-\tau)$ -admissible

$\iff (0, 1, 0, 1, \dots) \preceq_{lex} (x_m, x_{m+1}, \dots), \forall m \geq 1,$

and (x_n) does not have tail of $011\overline{0}\overline{1}$.

$\iff 0$ is isolated and (x_n) does not have tail of $011\overline{0}\overline{1}$.

(3) Shift Space

$(x_n)_{n \in \mathbf{Z}} \in X_{-\tau}$

$\iff 0$ is isolated

$\iff$ The set of forbidden word is $\{00\}$

Hence $X_{-\tau}$ is SFT.

(4) Invariant Measure

$$h_{-\tau}(x) = \begin{cases} \frac{1}{\tau} & (-\frac{1}{\tau^2} < x < 0) \\ 1 & (0 < x < \frac{1}{\tau}) \end{cases}$$

(5) Relation between two expansions

$$\frac{1}{(-\tau)^k} - \frac{1}{(-\tau)^{k+1}} = \frac{1}{(-\tau)^{k+2}}$$

Hence

$$\begin{matrix} 1 & 1 & 0 \\ = & 0 & 0 & 1 \end{matrix} \dots (*)$$

Any $(x_n)_{n=1}^{\infty} (\subset \{0, 1\}^{\mathbb{N}})$ can be “modified” via $(*)$ into both

(i) IS -admissible seq.

and

(ii) Sturmian -admissible seq.

$$= \begin{array}{ccc} 1 & 1 & 0 \\ 0 & 0 & 1 \end{array} \cdots (*)$$

Ex.

(1) $IS \rightarrow S$

$$\begin{array}{ccccccc} 0 & 0 & 0 & 0 & 0 & 0 & 1 \\ & & & & & 1 & 1 & 0 \\ & & & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 1 & 0 & 1 & 0 \end{array}$$

(2) $S \rightarrow IS$

$$\begin{array}{cccccccccc} 1 & 1 & 1 & 0 & 1 & 0 & 1 & 0 & 1 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 1 \end{array}$$